IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hiroji EBE, et al.

Serial Number: Not Yet Assigned

Filed: August 21, 2003

For: SEMICONDUCTOR OPTICAL DEVICE WITH QUANTUM DOTS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 21, 2003

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references are enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge

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Respectfully submitted,

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Enclosures: PTO-1449; References (2)

STATEMENT PTO-1449 Filing Date: August 21, 2003 Group Art Unit: Not Yet Assigned U.S. PATENT DOCUMENTS Examiner Document No. Name Date Class Subclass Filing Date Initial (If	INFORMATION			Atty. Docket No. 031022			Serial No. New Application			
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AE	11-50446	02/23/99	Japan	Yes-Abstract	
 AF	11-15477	01/22/99	Japan	Yes-Abstract	
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OTHER DOCUMENTS

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